PRIVATE LATERAL PROGRAM OUESTIONNAIRE

The WEF Collection System Committee is primarily interested in successfully operating programs for work performed on the privately-owned portion of the lateral line; e.g., building service connection. We want to assemble policy descriptions, enabling resolutions or ordinances, funding details, public education/information materials, standard design or construction details, etc., for programs that have proven to be successful. This specific program documentation will be made available to other wastewater utilities through a virtual private property program on-line library.

While we are interested in "planned" programs, we want to first concentrate on programs that have been demonstrated to have been effectively implemented. Planned programs will be included in the virtual library after the program has been implemented and actual experience with the program is available.

Program descriptions of private lateral programs that were previously implemented, but considered "failed" or only "partially successful" will be included if the reasons for the problems with the program have been identified and can be included as a "lessons learned" component of the virtual library.

Interview Conducted by	WEF Repr	esentative:	Name:	Jane McLamarrah			
			Date:	August 18, 2006			
1. General Information							
Utility Name & Location:	Little Rock	Little Rock Wastewater Utility, Little Rock, Arkansas					
Contact Name & Details:	Howell Ar	1 Anderson, PE (501) 688-1413					
	Director of	Director of Engineering Services					
	Little Rock, AR 72204						
Utility Characteristics:	65,192	_ Number	of Custome	ers (with a population of roughly 150,000)			
		_ Number	of Taps				
				c Sanitary Sewers (separated sewers and combined sewers)			
	Miles of Public Combined Sewers (sanitary only, not including sto (estimate % of system that is combined if total miles is unavailable						
	Are basements (thus potentially (indicate yes or no)			s potentially sump pump connections) typical in your area?			
	<u>municipal</u> Utility Type (municipal government, special purpose district, private utility, etc.						
2. Lateral Definition Private Lateral Definition: Building to ROW/Easement Line Only (check definition that applies)							
		(Note if (& how) utility treats laterals in easements differently than laterals in ROWs)					
	X Building To Tap on Sewer Main Line						
	Other (Specify details)						
				treats residential building laterals differently than commercial may affect responses to subsequent questions)			
Cleanouts: (check all that apply)	Required	Usually Exists					
		<u>X</u>	At building	5			
		<u>X</u>	At ROW	although the utility does not require cleanouts, local plumbers have historically installed them			
			A 4	√			

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3. Lateral Program Description

sheets as needed). If utility operates more than one private lateral program, it may be preferable to complete a separate questionnaire form for the remaining questions for each of those private lateral programs. Lateral Maintenance (e.g., cleaning, root control, etc.): Lateral Repair (e.g., point repairs, etc.): X Lateral Replacement: LRWU will replace the lateral from the edge of the right-of-way (ROW) to the sewer main if it is under a paved surface (street or alley) after the property owner has replaced the lateral from the building to the ROW and added a 2-way cleanout. The cost is \$350 for residential and \$500 for commercial. After payment, LRWU sends an inspector to verify the upper lateral replacement and cleanout and subsequently send a crew to complete the lower lateral replacement. X I/I Control (Specify type; e.g., cleanout caps, sump pump disconnect, downspout/yard drain disconnect, backflow preventer installation, etc. If basements are typical in area, where are building owners directed to connect the foundation or tile drain lines that have to be disconnected?): LRWU does not allow downspout connections. If such a connection is found during smoke testing (they do quite a bit of this in conjunction with rehabilitation), they send a letter to the property owner. If the property owner does not remove the connection, they turn the issue over to the City's Code Enforcement department. Similarly, LRWU requires cleanout caps and will send letters when the caps are missing. LRWU has experienced good property owner response from the initial letters for both the downspout disconnection and the missing cleanout cap issues. When a customer experiences a backup into the house, LRWU will install a backflow peventer on the line to prevent recurrence of the problem. Most of the backups come when LRWU conducts high pressure cleaning and it is easier to install a backflow preventer than to try to note that the line should not be cleaned with high pressure jets.

Type of Lateral Program (check all that apply and describe program – try to be brief in program description, but add separate

X Lateral Reconnects (Specify conditions; e.g., when utility relocates main, etc. Specify special situations; e.g., sewers under building(s) requiring building plumbing changes or extensive lateral relocation):
When LRWU replaces or relocates a sewer main, especially when they have "community service" lines that connect
multiple customers through old lines that were not constructed to current design standards (typically too small, with
many bends and frequently under buildings), LRWU will install the lateral necessary to reconnect the customer to the
new sewer main. LRWU can lay lines to within 5 feet of the house. LRWU hires plumbers to lay the remainder of the
lateral line. At times, the lateral relocation requires LRWU to install a line from the back of the house to the front. They
are fortunate that most of the houses have crawl spaces and are not built on slabs so the plumbers can get under the house
to redirect the line if necessary.
X Lateral Inspections (Specify conditions; e.g., point of sale, special utility project, etc.):
LRWU inspects laterals for new houses or replacement lines. (The LRWU program is a typical program similar to that
of many other utilities and is not described further in the remaining sections of this questionnaire.)
X New Connection Permitting (e.g., special coordination with Building Codes, etc.):
LRWU requires new customers to obtain a \$150 connection permit, which must be presented to City officials before an
occupancy permit is issued. (The LRWU program is a typical program similar to that of many other utilities and is not
described further in the remaining sections of this questionnaire.)
X New Connection Enforcement Mechanisms:
LRWU has a strong Sewer Use Ordinance and has good support from the City's Code Enforcement department. (The
LRWU program is a typical program similar to that of many other utilities and is not described further in the remaining
sections of this questionnaire.)
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The following Questions 4 through 8 of this questionnaire are designated as follows:

4A. through 8A. Lateral Replacement Program

4B. through 8B. I/I Control Program

4C. through 8C Lateral Reconnects Program

Question 9 covers all three programs.

4A. Lateral Program Implementation – The Lateral Replacement Program Implementation Date: __1992 Why implemented? Customer service – to help customers with the cost burden associated with street cuts and repaving that can typically cost between \$2,000 and (e.g., consent order/decree, reduce CSOs/SSOs, obtain capacity to alleviate sewer moratorium, more cost-effective than "old" program, etc.) Or End Date: Why Ended? Ongoing Program? What Legal Authority was Required to Implement the Lateral Program? X Resolution By Sanitary Sewer Committee (check all that apply, inquire if electronic copy is available for virtual library; inquire if utility type [i.e., municipality vs. _____ Ordinance district] affects the necessary legal authority) _____ State Enabling Legislation Other (Specify) 5A. Lateral Program Funding – The Lateral Replacement Program X Property Owner X Utility Other (Specify): Who Pays: (other could include grants, loans, low & moderate income programs, block development grants, etc.; inquire if electronic copy is available for virtual library) Property owner pays \$350 for residential and \$500 for commercial. LRWU assumes the Funding Description: remainder of the construction cost under the normal O&M budget. 6A. Program Construction – The Lateral Replacement Program Who Does the Work: X Utility Internal Forces _____ Utility Selects & Pays Contractor X Property Owner Property Owner But Only From Utility List Other (Specify:) Construction Description: LRWU internal forces construct the lower lateral, but only after the property owner has installed a replacement upper lateral and 2-way cleanout. Construction Details: Are standard details/specifications used? <u>Yes</u> Are electronic copies available? _____ LRWU is updating and reissuing their standard specifications. They plan to reissue the Describe/List Details: standards January 1, 2007.

7A. Public Education/Information Program – The Lateral Replacement Program How is Lateral Program Publicized? Door hangers Are electronic copies available? Bill stuffers Are electronic copies available? ____ Meetings Are electronic copies available? Brochures Are electronic copies available? X Customer Specific Are electronic copies available? No (e.g., provide property owner with CCTV still shot of lateral interior, field mark/locate [flag or cone] problem, provide picture of field location in case flag or cone is removed, etc.) Other (Specify) Are electronic copies available? Additional Description of Material(s): <u>LRWU does not extensively publicize the program, but local plumbers are</u> generally aware of this resource and will inform their customers. 8A. Lessons Learned – The Lateral Replacement Program What Would You Do Differently? Nothing now. LRWU initially allowed property owners to repair rather than replace the upper lateral, but realized that replacement was preferable and no longer allow repairs. What Performance Measures Are/Were Used? Number of lateral replacements (e.g., plant flow reduction, CSO/SSC reduction, basement backup reduction, service call (roots, etc.,) reduction, sewer moratorium lifted, etc.,) Describe results of Lateral Program on those performance measures: LRWU averages 2 to 3 replacements per month. Lessons Learned: As noted above, property owners should be required to replace and not merely repair the upper lateral.

4B. Lateral Program Implementation – The I/I Control Program Implementation Date: 2001 Why implemented? Sierra Club consent agreement relating to overflows (e.g., consent order/decree, reduce CSOs/SSOs, obtain capacity to alleviate sewer moratorium, more cost-effective than "old" program, etc.) Ongoing Program? Yes Or End Date: ____ Why Ended? ____ What Legal Authority was Required to Implement the Lateral Program? Resolution (check all that apply, inquire if electronic copy is available for virtual library; inquire if utility type [i.e., municipality vs. _____ Ordinance district affects the necessary legal authority) _____ State Enabling Legislation Other (Specify) None required. Authority already established in existing Sewer Use Ordinance. 5B. Lateral Program Funding – The I/I Control Program Who Pays: X Utility X Property Owner Other (Specify): (other could include grants, loans, low & moderate income programs, block development grants, etc.; inquire if electronic copy is available for virtual library) Funding Description: Utility pays for the inspection program through normal budget and rates. Customer pays for repairs necessary to correct the identified problem. 6B. Program Construction – The I/I Control Program X Utility Internal Forces Utility Selects & Pays Contractor Who Does the Work: Property Owner But Only From Utility List X Property Owner Other (Specify:) Construction Description: The inspection is carried out using LRWU staff. The customer selects and pays the plumber or contractor for the resulting corrective action(s). Construction Details: Are standard details/specifications used? X Are electronic copies available? Yes

Service line installations must conform to LRWU details and specifications.

Describe/List Details:

7B. Public Education/Information Program – The I/I Control Program How is Lateral Program Publicized? Door hangers Are electronic copies available? Bill stuffers Are electronic copies available? Meetings Are electronic copies available? Brochures Are electronic copies available? X Customer Specific Are electronic copies available? No (e.g., provide property owner with CCTV still shot of lateral interior, field mark/locate [flag or cone] problem, provide picture of field location in case flag or cone is removed, etc.) Other (Specify) Are electronic copies available? Additional Description of Material(s): <u>Customer receives a personal contact letter. The</u> first letter is pleasant, but subsequent contacts, if required, escalate in seriousness. 8B. Lessons Learned – The I/I Control Program What Would You Do Differently? Would have tried to do a better job of proactive communication with the customers. What Performance Measures Are/Were Used? None (e.g., plant flow reduction, CSO/SSC reduction, basement backup reduction, service call (roots, etc.,) reduction, sewer moratorium lifted, etc.,) Describe results of Lateral Program on those performance measures: 100 percent compliance is ultimately achieved. LRWU can turn off the customer's water, but was never forced to take this action to achieve compliance with the corrective action notifications. Lessons Learned: None, program works well.

4C. Lateral Program Implementation – The Lateral Reconnect Program Implementation Date: 2005 Why implemented? LRWU was replacing "community sewers", but the customers were not connecting to the new sewers thus requiring LRWU to continue to maintain the old, non-conforming sewers. (e.g., consent order/decree, reduce CSOs/SSOs, obtain capacity to alleviate sewer moratorium, more cost-effective than "old" program, etc.) Ongoing Program? Or End Date: Why Ended? Yes What Legal Authority was Required to Implement the Lateral Program? Resolution (check all that apply, inquire if electronic copy is available for virtual library; inquire if utility type [i.e., municipality vs. _____ Ordinance district] affects the necessary legal authority) _____ State Enabling Legislation X Other (Specify) None required. LRWU created the need for the customer to reconnect by installing the new sewer so the utility felt responsible for making the connection, especially since not connecting these customers required duplicate O&M to maintain the old line. 5C. Lateral Program Funding – The Lateral Reconnect Program X Utility Property Owner ____ Other (Specify): Who Pavs: (other could include grants, loans, low & moderate income programs, block development grants, etc.; inquire if electronic copy is available for virtual library) LRWU plans to fund the program at between \$500,000 and \$750,000 per year for the next Funding Description: 5 years (and it is likely longer than that as there are a substantial number of community sewers in Little Rock, particularly in the older sections of town. 6C. Program Construction – The Lateral Reconnect Program X Utility Internal Forces Utility Selects & Pays Contractor Who Does the Work: Property Owner Property Owner But Only From Utility List Other (Specify:) Construction Description: LRWU staff install the new lateral line all the way from the new sewer main to within 5 feet of the house. LRWU hires a plumber to install the remaining 5 feet of the lateral service line because the house may also require the plumbing in the crawl space (which most homes have) to be turned from the back of the house to the front. Construction Details: Are standard details/specifications used? X Are electronic copies available? Yes Service line installations must conform to LRWU details and specifications. Describe/List Details:

7C. Public Education/Information P	rogran	n – The Lateral Reco	nnect Program	
How is Lateral Program Publicized? _		Door hangers	Are electronic copies available?	
<u>-</u>		Bill stuffers	Are electronic copies available?	
-		Meetings	Are electronic copies available?	
-		Brochures	Are electronic copies available?	
_	X		Are electronic copies available? y owner with CCTV still shot of la one] problem, provide picture of fied, etc.)	teral interior, field
_		Other (Specify)	Are electronic copies available?	
Additional Description of Material(s):_	Custon	ner receives a personal	contact letter. Few customers com	plain since the
Utility is paying.				
What Would You Do Differently? <u>Co</u> not connecting to the new lines so this community sewer replacement program What Performance Measures Are/Were (e.g., plant flow reduction, CSO/SSC re moratorium lifted, etc.,) Describe result	Lateral m. e Used?	None. None. Nosement backup received.	duction, service call (roots, etc.,) re	ency in the
There are more reconnects to be made		C		connect so
customers being connected to the new	=		-	
		* **		s there is fittle
Lessons Learned: None so far, but pro				
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9. Follow-Up Electronic Submittal

	als to WEF Collection System Committee for Inclusion in Virtual Library? Yes
(list all items utility agrees to send	below should future follow-up reminders be needed)
	ct (e.g., either web site address, telephone number or email address [preferably not a erns] for inclusion in the WEF Private Lateral Virtual Library)?
	_www.lrwu.com
WEF Tracking List of Materials:	Standard lateral replacement specifications and construction details.
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